

UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark Office  
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Washington, D.C. 20231

| APPLICATION NUMBER | FILING/RECEIPT DATE | FIRST NAMED APPLICANT | ATTORNEY DOCKET NO./TITLE |
|--------------------|---------------------|-----------------------|---------------------------|
| 08/991,143         | 12/16/97            | CONTI-FINE            | B 600.423US1              |

08/991,143 12/16/97 CONTI-FINE

SCHWEGMAN LUNDBERG WOESSNER & KLUTH  
P O BOX 2906  
MINNEAPOLIS MN 55402

NOT ASSIGNED

1643

DATE MAILED:

12/16/98

**NOTICE TO FILE MISSING PARTS OF APPLICATION**  
*Filing Date Granted*

An Application Number and Filing Date have been assigned to this application. The items indicated below, however, are missing. Applicant is given TWO MONTHS FROM THE DATE OF THIS NOTICE within which to file all required items and pay fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.13(b)(a). If any of items 1 or 3 through 5 are indicated as missing, the SURCHARGE set forth in 37 CFR 1.16(e) of  \$65.00 for a small entity in compliance with 37 CFR 1.27, or  \$130.00 for a non-small entity, must also be timely submitted in reply to this NOTICE to avoid abandonment.

If all required items on this form are filed within the period set above, the total amount owed by applicant as a  
 small entity (statement filed)  non-small entity is \$ 2132.00.

1. The statutory basic filing fee is:

missing:

insufficient.

Applicant must submit \$ 190.00 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).

2. Additional claim fees of \$ 1012.00, including any multiple dependent claim fees, are required.

\$ 656.00 for 8 independent claims over 3.

\$ 286.00 for 13 dependent claims over 20.

\$ 270.00 for multiple dependent claim surcharge.

Applicant must either submit the additional claim fees or cancel additional claims for which fees are due.

3. The oath or declaration:

is missing or unexecuted.

does not cover the newly submitted items.

does not identify the application to which it applies.

does not include the city and state or foreign country of applicant's residence.

An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date is required.

4. The signature(s) to the oath or declaration is/are by a person other than inventor or person qualified under 37 CFR 1.42, 1.43 or 1.47.

A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.

5. The signature of the following inventor(s) is missing from the oath or declaration:

01 FC:201

02 FC:202

03 FC:203

04 FC:204

05 FC:299

An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying the application by the above Application Number and Filing Date, is required.

07/07/1998 DTHMAS 0000004 0899143

6. A \$50.00 processing fee is required since your check was returned without payment (37 CFR 1.21(m)).

7. Your filing receipt was mailed in error because your check was returned without payment.

65.00 OP

8. The application does not comply with the Sequence Rules.

See attached "Notice to Comply with Sequence Rules 37 CFR 1.821-1.825."

9. OTHER:

Direct the reply and any questions about this notice to "Attention: Box Missing Parts."

**A copy of this notice MUST be returned with the reply.**

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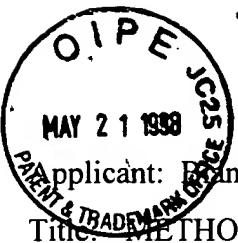
Customer Service Center

Initial Patent Examination Division (703) 308-1202

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BEST



SECTOR #  
2921

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

MAY 21 1998

Applicant: Franca M. Conti-Fine

Title: METHODS TO TREAT UNDESIRABLE IMMUNE RESPONSES

Docket No.: 600.423US1

Serial No.: 08/991,143

Filed: December 16, 1997

Due Date: May 16, 1998

Examiner:

Group Art Unit: 1643

Attn: Box Missing Parts  
Assistant Commissioner for Patents  
Washington, D.C. 20231

We are transmitting herewith the attached:

- An Assignment of the invention to Regents of the University of Minnesota (2 pgs.) and Recordation Form Cover Sheet (1 pg.).
- A verified statement to establish small entity status under 37 C.F.R. 1.9 and 1.27.
- A signed Combined Declaration and Power of Attorney (3 pgs.).
- A check in the amount of \$65.00 to cover the Missing Parts Fee.
- A check in the amount of \$1,155.00 to cover the Basic Filing Fee and Additional Claims Fee.
- A check in the amount of \$40.00 to cover the Assignment Recording Fee.
- Form PTO-1533 (1pg.)
- Communication Re: Missing Parts (1 pg.)
- A return postcard.

Other: \_\_\_\_.

Please charge any additional required fees or credit overpayment to Deposit Account No. 19-0743.

CERTIFICATE UNDER 37 CFR 1.8: The undersigned hereby certifies that this Transmittal Letter and the paper, as described above, are being deposited in the United States Postal Service, as first class mail, in an envelope addressed to: Attn: Box Missing Parts, Assistant Commissioner for Patents, Washington, D.C. 20231, on this 18 day of May, 1998.

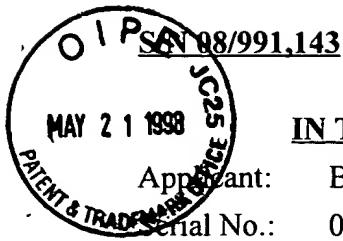
SCHWEGMAN, LUNDBERG, WOESSNER & KLUTH, P.A.  
P.O. Box 2938, Minneapolis, MN 55402 (612-373-6900)

By: Warren D. Woessner  
Name: Warren D. Woessner, Ph.D.  
Reg. No. 30,440  
WDW:CMG:mjm

SEARCHED  
INDEXED  
MAILED

JUL 13 1998

GROUP 1800



PATENT

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant: Bianca M. Conti-Fine

Examiner:

Serial No.: 08/991,143

Group Art Unit: 1643

Filed: December 16, 1997

Docket: 600.423US1

Title: METHODS TO TREAT UNDESIRABLE IMMUNE RESPONSES

**COMMUNICATION RE: MISSING PARTS**

Box Missing Parts

Assistant Commissioner for Patents

Washington, D.C. 20231

In response to the "Notice to File Missing Parts" (see enclosed copy), we submit the signed Combined Declaration and Power of Attorney along with a check in the amount of \$65.00 to cover the small entity surcharge and a check in the amount of \$1,155.00 to cover the small entity basic filing fee.

Applicant assume the application is now in proper order and in condition for examination. Please direct any inquiries to the undersigned attorney at (612) 373-6903.

Respectfully submitted,

BIANCA M. CONTI-FINE

By his Representatives,

SCHWEGMAN, LUNDBERG, WOESSNER & KLUTH, P.A.  
P.O. Box 2938  
Minneapolis, MN 55402  
(612) 373-6903

Date 18 May 1998

By Warren D. Woessner

Warren D. Woessner, Ph.D.

Reg. No. 30,440

WDW:CMG:mjm

JUL 13 1998

GROUP 1800

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to Assistant Commissioner for Patents, Washington, D.C. 20231, on 5-18-98.

(Date of Deposit)

Mitchelle McPARTHY

Mitchelle McParthy